

1 **Evolution of posaconazole tolerance in *Candida albicans***

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12 **Abstract**

13 While *Candida albicans* is a commensal member of the normal human microbiome,
14 overgrowth can lead to an infection known as candidiasis. Among the repertoire of drugs
15 available to treat candidiasis are the azoles. Resistance to azoles has been well-documented –
16 particularly for fluconazole. However, for the newer azole posaconazole, genetic mechanisms
17 underlying resistance are less well-characterized. This project enacted a selective pressure on
18 strains of *C. albicans* through growth in posaconazole-containing media, with the goal of altering
19 drug susceptibility. Evolved strains were characterized both phenotypically and genotypically. It
20 was found that few replicates evolved true drug resistance, but drug tolerance – the ability to
21 grow at drug levels exceeding the minimum inhibitory concentration – was frequently observed.
22 Using whole genome sequencing, a list of candidate genes of interest involved in posaconazole
23 tolerance were identified. These findings indicate that drug tolerance is an evolvable phenotype
24 in *C. albicans*, and perhaps one that certain strains acquire faster and more readily than
25 resistance under some conditions.

26

27 **Key words**

28 Candida, posaconazole, drug tolerance, drug resistance

29 **Introduction**

30 *Candida albicans* is a commensal member of the human microbiome, but overgrowth can
31 lead to candidiasis – a fungal infection that can occur in the mouth, vagina, or bloodstream
32 (CDC, 2019). Candidiasis ranks as the fourth most common type of nosocomial bloodstream
33 infection in the United States (Wisplinghoff et al., 2004). While nosocomial candidiasis can be
34 caused by many *Candida* species, *Candida albicans* is the most common causative organism,
35 accounting for up to 50% of all cases (Wisplinghoff et al., 2014). In the case of vulvovaginal
36 candidiasis (VVC) this proportion is even higher, with *C. albicans* responsible for over 90% of
37 infections (Sobel et al., 1998). By age twenty-five, up to half of all women have experienced at
38 least one clinically confirmed episode of VVC (Hurley and Delouvois, 1979), making treatment
39 of VVC a significant venue for the use of antifungal agents.

40 Three antifungal drug classes are currently approved for the treatment of *Candida*
41 infections: polyenes, echinocandins, and azoles. Azoles are the broadest and most widely used
42 class of antifungal (Pappas et al., 2016). They disrupt normal cell membrane formation by
43 binding and inhibiting ERG11, which is responsible for the production of ergosterol, a critical
44 component of the fungal cell membrane (Odds et al., 2013). Available azole drugs include
45 fluconazole, itraconazole, and the more recently developed drugs voriconazole, isavuconazole,
46 and posaconazole (Perlin, 2017; Kale and Johnson, 2005). Fluconazole was among the first azole
47 drugs introduced in 1990, followed shortly by itraconazole in 1992 (Nett and Andes, 2015;
48 Pfizer, 2020). Posaconazole, the drug studied in this project, received FDA approval for the
49 treatment of candidiasis in 2006 (PR Newswire, 2006).

50 Intrinsic resistance to azoles is rare in *C. albicans*, with recorded rates of only 1-2%
51 (Cleveland et al., 2012). However, acquired resistance is observed in patients with recurrent
52 infections following prolonged azole treatments (Kelly et al., 1996). Known contributors to
53 fluconazole resistance include: multiple amino acid substitutions in ERG11 that result in
54 decreased interaction between drug and target (Lamb et al., 2000; Flowers et al., 2015);
55 mutations in and overexpression of *RTA2* (Jia et al., 2008); and up-regulation of drug efflux
56 pumps such as *CDR1*, *CDR2*, *MDR1*, and *FLU1* (Sanglard et al., 1995). However, for
57 posaconazole, the underlying genetic mechanisms for resistance are less well-characterized.
58 Studies have shown that *C. albicans* strains with ERG11 mutations that confer resistance to
59 fluconazole do not display the same degree of reduced susceptibility to posaconazole
60 (MacCallum et al., 2010; Sanglard and Coste, 2015; Warrilow et al., 2019). This suggests that
61 posaconazole resistance is acquired via another mechanism, one that has yet to be identified.

62 In addition to resistance, which refers to fungal growth in the presence of drug
63 concentrations that inhibit susceptible isolates (Brauner et al., 2016), another important
64 parameter in the characterization of drug response is the concept of *tolerance*. Even at
65 concentrations exceeding the minimum inhibitory concentration (MIC), subpopulations of cells
66 may still be capable of growth. Tolerance can be defined as the proportion of a population
67 capable of slow growth at concentrations above the MIC (Rosenberg et al., 2018).

68 This project enacted a selective pressure on strains of *C. albicans* by prolonged exposure
69 to media containing posaconazole, with the goal of altering and characterizing drug
70 susceptibility. Multiple strains were used to determine the effect of strain background on the
71 development of posaconazole resistance and tolerance. Finally, whole genome sequencing was
72 performed to identify candidate genes involved in altered posaconazole drug response.

73

74 **Materials and Methods**

75 **Strains**

76 Eight strains of *C. albicans* with different genetic backgrounds were selected (P87,
77 CG75, P78048, P75016, P76055, T101, SC5314, and FH1). These strains represent part of a
78 panel of clinical isolates used by our lab group that were selected to capture the phylogenetic
79 diversity of *C. albicans*. The strains selected exhibit a range of intrinsic resistance levels to
80 posaconazole. Here we use ‘ancestral strain’ to refer to freezer stocks of each strain prepared
81 prior to our experimental exposure to posaconazole and ‘evolved strains’ to refer strains that
82 resulted from the posaconazole evolution experiment outlined below. All stocks were maintained
83 at -80 °C.

84

85 **Posaconazole Evolution**

86 The eight strains were streaked onto plates containing YPD agar (2% w/v peptone, 2%
87 w/v yeast extract, 1.8% w/v agar, 1% w/v glucose, 0.00016% w/v adenine sulfate, 0.00008% w/v
88 uridine, 0.1% v/v of each chloramphenicol and ampicillin) from -80°C freezer stocks and grown
89 for 72 hours at room temperature. For each strain, twelve colonies were picked at random,
90 transferred to a 96-well deep well plate in 1mL of YPD media (2% w/v peptone, 2% w/v yeast
91 extract, 1% w/v glucose, 0.00016% w/v adenine sulfate, 0.00008% w/v uridine, 0.1% v/v of each
92 chloramphenicol and ampicillin), sealed with Breathe-Easier sealing membrane (Sigma-Aldrich,
93 St. Louis, MO, USA), and incubated for 24 hours at 30 °C. Ancestral strains were prepared in

94 triplicate from 100 μ L of culture and 100 μ L of 30% glycerol and stored at -80 $^{\circ}$ C. Using 8
95 different strains and 12 replicates per strain, a total of 96 replicates were prepared.

96 Each replicate was standardized to an optical density at 600nm (OD_{600}) of 0.01. From the
97 standardized culture, 20 μ L was transferred to 180 μ L of YPD media (YPD). An additional 20
98 μ L of culture was added to 180 μ L of YPD media containing 0.56 μ g/mL posaconazole
99 (YPD+POS). Plates were sealed with Breathe-Easier sealing membrane and incubated at 30 $^{\circ}$ C.

100 After 72 hours, YPD cultures and YPD + POS cultures were diluted 1:1000 and
101 transferred to fresh media. At the time of each transfer biomass production was determined
102 spectrophotometrically as OD_{600} . A total of five transfers were performed. After the fifth
103 transfer, glycerol stocks were prepared in triplicate for both the YPD and YPD + POS evolved
104 samples in the same manner as outlined above. In this way, replicates were evolved for ~ 50
105 generations (generations calculated as: $2^x = 1000$; $x = \ln(1000)/\ln(2)$).

106 An additional evolution experiment was performed using the same ancestral strains. 5 μ L
107 of each ancestral freezer strain was added to 195 μ L YPD, sealed with a Breathe-Easier sealing
108 membrane, and incubated at 30 $^{\circ}$ C for 48 hours. Each replicate was standardized to an OD_{600} of
109 0.01 before being transferred to YPD and YPD + POS in the manner described above. All other
110 experimental steps remained the same, with the exception of the time between transfers being
111 decreased from 72 hours to 24 hours.

112

113 **Liquid MIC Assay**

114 5 μ L ancestral and evolved freezer strains were added to 195 μ L YPD, sealed with
115 Breathe-Easier sealing membrane, and incubated for 72 hours at room temperature. Each

116 replicate was standardized to an OD₆₀₀ of 0.01. For the ancestral strains, an MIC assay was
117 prepared as follows: 100 μ L of YPD was added to columns 2 through 12 of a 96-well microplate.
118 100 μ L of YPD with 16 μ g/mL posaconazole was added to column one, and a 2-fold serial
119 dilution was performed across each row up to and including column 10. 100 μ L of each ancestral
120 strain was added to columns 1 through 11, for a total of ten final posaconazole concentrations
121 ranging from 8 μ g/mL to 0.015625 μ g/mL, and a control column containing no drug. Column 12
122 contained only YPD. In this fashion, microplates were prepared in duplicate.

123 For evolved strains, a modified MIC assay was prepared. Four 96-well microplates were
124 prepared each containing 100 μ L of YPD with three different concentrations of posaconazole,
125 and a control plate containing 100 μ L of YPD with no drug. 100 μ L of each evolved replicate
126 was added to each well of the microplates. Final posaconazole concentrations for each plate were
127 8 μ g/mL, 2 μ g/mL, 0.05 μ g/mL, and no drug. In this fashion, microplates were prepared in
128 duplicate.

129 All plates (for both ancestral and evolved replicates) were sealed with Breathe-Easier
130 sealing membranes and incubated at 30 °C. Biomass production was measured via
131 spectrophotometer as OD₆₀₀ at 24, 48, and 72 hours.

132

133 **Disk Diffusion Assays**

134 5 μ L of ancestral and evolved freezer strains were added to 195 μ L of YPD, sealed with
135 Breathe-Easier sealing membranes, and incubated at 30 °C for 48 hours. Each replicate was
136 standardized to an OD₆₀₀ of 0.01. 100 μ L of standardized culture was spread-plated in duplicate
137 onto plates prepared with 15mL of YPD agar. Posaconazole disks were prepared by adding 4 μ L

138 of 0.625 mg/mL posaconazole to blank antimicrobial susceptibility disks. One disk was applied
139 to the center of each plate. All plates were inverted and incubated at 30 °C. After 24 and 48
140 hours, each plate was placed on a light box and photographed from above. This process was
141 repeated for a total of two biological replicates.

142 Photographs were processed using ImageJ (Schneider et al., 2014) by cropping the
143 background, inverting image colours, and adjusting brightness and contrast to obtain bright
144 colonies against a dark background (as in Figure 3C). The adjusted images were analyzed using
145 the *diskImageR* package following recommendations specified in the *diskImageR* vignette V2
146 (Gerstein, 2016 <https://www.microstatslab.ca/diskimager.html>). *diskImageR* analyzes disk
147 diffusion assay images by plotting radial lines from the center of each disk to the edge of the
148 plate. Colony growth corresponds to pixel intensity along each line. Two key metrics were
149 obtained from the *diskImageR* pipeline: RAD and FoG values. RAD refers to the radius of the
150 zone of inhibition, while FoG is a measure of the proportion of growth occurring within this
151 radius. In this project, RAD₂₀ and FoG₂₀ values were used, referring to the radius at which a 20%
152 reduction in growth occurred, and the fraction of growth occurring within this radius.

153 RAD and FoG values obtained from the *diskImageR* pipeline were averaged across
154 biological and technical replicates for all strains. For strains P76055, T101, SC5314, and FH1,
155 only one biological replicate was included, as low-quality images prevented the *diskImageR*
156 maximum-likelihood analysis from running successfully.

157 A second disk diffusion assay was performed in the same manner for strains P87, CG75,
158 P78048, and P75016 using disks containing 25mg of fluconazole to investigate whether
159 evolution in the presence of posaconazole results in cross-resistance to other azoles. Two

160 technical replicates and one biological replicate were produced for the fluconazole disk diffusion
161 assay.

162

163 **Genomic DNA Extraction and Sequencing**

164 Based on preliminary results from the *diskImageR* analysis, strain SC5314 was selected
165 for whole genome sequencing. In addition to these replicates displaying large increases in
166 tolerance, SC5314 is also the *C. albicans* type strain, meaning that a reference genome was
167 readily available for downstream read alignment steps. Genomic DNA was extracted from two
168 ancestral strain replicates and nineteen evolved replicates (twelve replicates from the 72 hour
169 evolution experiment described here, as well as seven replicates from a similar experiment
170 previously completed by Quinn Wonitowy).

171 30 μ L of frozen stock culture was transferred to 2.97 mL of YPD and incubated for 48
172 hours at 30 $^{\circ}$ C with constant shaking. Cells were collected by centrifugation at 2 500 rpm for
173 three minutes, and the supernatant discarded. Each sample was vortexed for twenty minutes at 4
174 $^{\circ}$ C with 500 μ L of TENTS buffer (100 mM NaCl, 10 mM Tris pH 8.0, 1 mM EDTA, 2% Triton
175 X 100, and 1% SDS), \sim 100 μ L of glass beads, and 200 μ L phenol:chloroform:IAA, followed by
176 centrifugation for ten minutes at 13 500 rpm. 350 μ L of supernatant was transferred to a fresh
177 tube, and DNA precipitated overnight at -20 $^{\circ}$ C by adding 1 mL of cold 100% ethanol. Each
178 sample was centrifuged again at 13 500 rpm for ten minutes, and DNA resuspended in 50 μ L of
179 molecular biology grade water. Samples were treated with 1 μ L of 10 mg/mL RNase A
180 (incubation at 37 $^{\circ}$ C for one hour) and 2 μ L of 20 mg/mL proteinase K (incubation at 37 $^{\circ}$ C for
181 1.5 hours). 200 μ L of water and 300 μ L of phenol:chloroform:IAA was added, and samples were

182 centrifuged at 13 500 rpm for ten minutes. 170 μ L of supernatant was transferred to a fresh tube,
183 and DNA was precipitated once more with 4 μ L of 5 M NaCl and 400 μ L of cold 100% ethanol.
184 After precipitating at least ten minutes at -20 °C, the pellet was left overnight to allow the
185 ethanol to completely evaporate before the DNA was resuspended in 50 μ L of water.

186 DNA quality was assessed using a nanospectrophotometer, and DNA concentration
187 measured using a Qubit 2.0 fluorometer. All samples met the minimum concentration guidelines
188 (between 10 and 100 ng/ μ L and at least 30 μ L total volume) specified by MiGS Sequencing
189 Center (Pittsburgh, USA). Whole genome sequencing was performed by the MiGS Sequencing
190 Center using the Illumina NextSeq 550 platform to a calculated depth of ~40.

191

192 **Genome Analysis**

193 All reads were quality-assessed using FASTQC and MultiQC before and after trimming
194 (Andrews, 2010; Ewels, 2016). Adaptor sequences and low-quality reads were trimmed using
195 Trimmomatic (v0.36 LEADING:3 TRAILING:3 SLIDINGWINDOW:4:15 MINLEN:36
196 TOPHRED33) (Bolger et al., 2014). Read alignment was performed using the Burrows-Wheeler
197 Aligner MEM algorithm using default parameters (BWA v0.7.17) (Li, H., 2013).

198 The *Candida albicans* reference genome (A21-s02-m09-r10) and known polymorphisms
199 were obtained from the Candida Genome Database (<http://www.candidagenome.org>) on March
200 12th and March 15th 2020, respectively. After alignment, the following steps were performed
201 using Picard command-line tools (<https://broadinstitute.github.io/picard/>). Read groups were
202 added using AddOrReplaceReadGroups (RGID=Cell1 RGLB=lib1 RGPL=illumina

203 RGPU=unit1). Reads were deduplicated using MarkDuplicates (CREATE_INDEX=true) and
204 mate-pair information verified using FixMateInformation (CREATE_INDEX=true).

205 Variants were analyzed using tools available through GATK (v.4.1.2.0) (McKenna et al.,
206 2010). Base quality scores were first recalibrated using BaseRecalibrator and ApplyBQSR, both
207 with default parameters. HaplotypeCaller (-ERC GVCF) was used to pre-call variants, before
208 combining samples with CombineGVCFs and running GenotypeGVCFs with default parameters.

209 Variants were divided into two groups using SelectVariants (-select-type SNP, select-
210 type INDELS select-type MIXED). SNPs were quality-filtered using VariantFiltration (-filter
211 “QD < 2.0” -filter “QUAL < 30.0” -filter “SOR > 30” -filter “FS > 60.0” -filter “MQ < 40.0” -
212 filter “MQRankSum < -12.5” -filter “ReadPosRankSum < -8.0”). Indels and other variants were
213 quality-filtered separately from SNPs using different filter parameters (-filter “QD < 2.0” -filter
214 “QUAL < 30.0” -filter “FS > 200.0” -filter “ReadPosRankSum < -20.0”).

215 Results were visualized in Integrated Genomics Viewer (Robinson et al., 2011). All
216 replicates were searched manually to identify variants. Candidate genes of interest were
217 identified based on the following criteria. First, candidate variants had to be present only in the
218 evolved replicates, with both ancestral replicates that were sequenced having the wildtype allele.
219 Second, to simplify search efforts, only candidate genes in which the same variant was present in
220 at least five of the evolved strains were considered. Finally, genes meeting the first two criteria
221 were included in the list of putative genes of interest if they had an annotation stating up- or
222 down-regulation by azole drugs. Annotations were obtained from the Candida Genome Database
223 (<http://www.candidagenome.org>). Genes known to be involved in fluconazole resistance
224 (ERG11, CDR1, CDR2, MDR1, FLU1, RTA2) were also examined.

225

226 **Results**

227 **Posaconazole Evolution**

228 Strain background was correlated with the response to sustained exposure to
229 posaconazole. Over the first, second, and third transfers of the 72 hour evolution experiment,
230 replicates from each strain background displayed a sharp decrease in growth ability (Figure 1).
231 After the third transfer, two different outcomes were observed. In some strains (P87, CG75,
232 P78048, and P75016) the growth ability of each replicate continued to decrease. By the end of
233 the fifth transfer, all or nearly all replicates had gone extinct, as determined by an OD₆₀₀ similar
234 to that of YPD and an inability to revive cells from freezer stocks in subsequent experiments. In
235 P87, all replicates went extinct. In CG75, one replicate survived. In P78048 and P75016, OD₆₀₀
236 measurements indicated that three replicates survived to the fifth transfer, but only two replicates
237 from each strain could be consistently revived from the evolved freezer stock.

238 In other strains (T101, SC5314, and FH1) a different outcome was observed. Some
239 replicates were able to recover growth ability over the fourth and fifth transfers. The only strain
240 background in which extinction was observed was strain FH1, in which two replicates went
241 extinct by the fifth transfer. In SC5314, OD₆₀₀ readings suggested that one replicate went
242 extinct, but because cells could be successfully revived from the evolved freezer stock, it is
243 likely that the cell concentration at the time of the fifth transfer was just very low. Strain P76055
244 fell between these two outcomes. While no replicates went extinct by the fifth transfer, only
245 some displayed improved growth after the third transfer, while most continued to worsen.

246 In the 24 hour experiment, replicates from every strain background exhibited very low growth at
247 each transfer (Figure 2). By transfer five, extinction was observed for every replicate.

248

249 **Resistance and Tolerance**

250 For replicates from every strain background, few improvements in resistance (as
251 measured by the radius of the zone of inhibition through *diskImageR* analysis) between ancestral
252 and evolved replicates were observed (Figure 3A). In strain P76055, only two replicates
253 displayed a smaller zone of inhibition (i.e., increased resistance) than the mean radius observed
254 across the ancestral replicates from the same strain. In T101, six replicates displayed a slight
255 improvement above the mean radius of the ancestral replicates, while for the rest the size of the
256 zone of inhibition remained the same. In SC5314 and FH1, most replicates displayed an increase
257 in the size of the zone of inhibition as compared to the mean of the ancestral replicates,
258 indicating a *decrease* in the level of drug resistance. In CG75, P78048, and P75016, all evolved
259 replicates were less resistant to posaconazole than any of the ancestral replicates.

260 Different patterns were observed when measuring tolerance (Figure 3B). Though only
261 one or two replicates from strains CG75, P78048, and P75016 survived the 72 hour evolution
262 experiment and could be analyzed, each of these surviving replicates displayed at least 25%
263 more growth within the zone of inhibition than the mean of the ancestral replicates for each
264 strain. Evolved replicates from strain SC5314 also displayed increased drug tolerance, with all
265 evolved replicates exceeding the mean tolerance level of the ancestral replicates. For strain
266 P75066, slight improvements were observed, with seven replicates displaying tolerance levels

267 greater than the ancestral mean. Evolved replicates from strains FH1 and T101 maintained
268 similar tolerance levels to the ancestral replicates.

269 Ancestral replicates from the liquid MIC assay displayed abnormally high OD600
270 readings and were not included in analysis. However, examining the OD600 readings from the
271 plates prepared with evolved replicates in 0.5 $\mu\text{g}/\text{mL}$ of posaconazole provides insight into the
272 within-strain variation in biomass production. Strain SC5314 displayed the greatest amount of
273 within-strain variation. This was consistent with the variation seen in resistance and tolerance
274 measurements as determined through *diskImageR* analysis. Despite having a high level of
275 ancestral drug resistance, evolved replicates from strain T101 displayed even lower biomass
276 production in the presence of 0.5 $\mu\text{g}/\text{mL}$ posaconazole than the mean growth of an ancestral
277 sample grown in a lower drug concentration (0.12 $\mu\text{g}/\text{mL}$) (Figure 5).

278 Half of the available strains were used in a disk diffusion assay examining fluconazole
279 tolerance (in which fluconazole disks were used instead of posaconazole), and of these, only
280 evolved replicates from strains P78048 and P75016 grew successfully. Resistance, as measured
281 by the size of the zone of inhibition, was lower in evolved replicates than it was in ancestral
282 replicates (Figure 4A). Tolerance improved in all evolved replicates beyond that of the ancestral
283 replicates (Figure 4B). Despite never having been previously exposed to fluconazole, the
284 response of the evolved replicates to this drug was similar to that seen to posaconazole.

285

286 **Genome Analysis**

287 In total, five genes of interest were identified based on the criteria previously outlined.
288 IFD6 (NCBI Gene ID: 3644703) contained two single nucleotide variants (SNVs), present in

289 seven and five of the evolved replicates, respectively. It is provisionally annotated as a member
290 of the aldo-keto reductase family. PNG2 (NCBI Gene ID: 3645568) contained one SNV present
291 in thirteen of the evolved replicates (as a heterozygous SNV in three replicates and as a
292 homozygous SNV in ten), making this the most frequently observed mutation of interest. PNG2
293 encodes a putative N-glycanase. SNVs were identified in both ALS2 (four SNVs present in
294 seven replicates each) and ALS3 (seven SNVs present in six to seven replicates) (NCBI Gene
295 IDs 3645724 and 3647965, respectively), both annotated as members of the Agglutinin-Like
296 Sequence family, and likely playing a role in cell wall adhesion. The last gene identified was
297 IFC3 (NCBI Gene ID: 3644918) which contained both a two base pair insertion and a two base
298 pair deletion in ten of the evolved replicates. Evolved replicates from two separate but similar
299 evolution experiments were sequenced, and each mutation identified was present in a mix of
300 replicates from each experiment.

301 The genomes were also investigated for mutations in genes with known associations to
302 fluconazole resistance: *ERG11*, *RTA2*, *CDR1*, *CDR2*, *MDR1*, and *FLU1*. However, in each of
303 these genes, the same genotype was observed in both the evolved and ancestral replicates, which
304 is consistent with the hypothesis that although posaconazole and fluconazole are the same class
305 of drug, different mechanisms underlie drug response to these two drugs.

306

307 **Discussion**

308 Current research into changes in antifungal drug susceptibility are largely targeted at
309 characterizing resistance and identifying associated genes. Tolerance is a less well-characterized
310 and less frequently used metric. However, tolerance has important clinical implications, as slow

311 growth at drug concentrations exceeding the MIC allows *Candida* species to persist even after
312 treatment with an antifungal agent in a clinical setting (Rosenberg et al., 2018). Here I found that
313 tolerance is an evolvable phenotype, with all evolved replicates from strains CG75, P78048,
314 P75016, and SC5314 exceeding the mean drug tolerance levels measured across the
315 corresponding ancestral replicates. Interestingly, these strains displayed the lowest ancestral
316 tolerance levels, but the largest improvements in the evolved replicates. In contrast, increased
317 resistance was seen for only two replicates from strain P76055, six replicates from strain T101
318 (which only improved by a small margin) and one replicate from FH1. This shows that not only
319 can drug tolerance be evolved, but it is a trait that certain *C. albicans* strains may be able to
320 acquire faster and more readily than resistance under some conditions.

321 I identified five candidate genes of interest for posaconazole tolerance in *C. albicans*
322 strain SC5314. Future investigations will determine whether the replicates in which candidate
323 mutations were identified correlate with the replicates displaying the highest tolerance levels,
324 and conduct more detailed molecular experiments to confirm the role of each of these genes
325 plays in acquired drug tolerance. Each identified gene is only provisionally annotated in the
326 *Candida* Genome Database, and information regarding biosynthetic and regulatory pathways
327 they are involved in is largely absent from current literature. The most well-characterized of the
328 identified genes are the ALS family genes, which current research suggests play a role in cell
329 adhesion (Gaur and Klotz, 1997). However, as discussed in a 2008 review article by Hoyer et al.,
330 research is still ongoing, and other possible roles of ALS family genes in *C. albicans* are still
331 being investigated, including its role in pathogenicity.

332 While genes currently understood to be involved in fluconazole resistance were
333 investigated, no differences were observed between sequences from the ancestral replicates and

334 the evolved replicates. This is not unexpected, as increased resistance was not observed among
335 replicates from strain SC5314. The genes identified in this research are therefore not ones
336 associated with drug resistance but are candidate genes involved in posaconazole tolerance.

337 Due to time constraints, only mutations present in at least five replicates of the SC5314
338 strain were examined here. However, mutations are a chance event, occurring sporadically and
339 independently in each replicate. Variants occurring in only one or a few evolved replicates are
340 still worth considering, as these could provide different routes towards the evolution of drug
341 tolerance. Future work will develop a more efficient pipeline to identify putative mutations that
342 confer posaconazole resistance or tolerance.

343 Future experiments will also more fully probe how the number of transfers (i.e., the
344 number of generations in the presence of drug) and the period of time between transfers
345 influences the ability of replicates from different strain backgrounds to acquire resistance and
346 tolerance. Growth ability in the presence of drug typically began to recover between the fourth
347 and fifth transfers in the evolution experiment described here. If additional transfers are
348 performed, will the trend of increasing growth ability continue after the fourth and fifth
349 transfers? If it is the case that drug tolerance evolves faster than drug resistance, perhaps
350 resistance will ultimately be observed if the number of transfers is increased beyond five. In the
351 72 hour evolution experiment performed here, replicates were able to recover some growth
352 ability in the presence of drug. However, when replicates were transferred to fresh drug daily in
353 the 24 hour evolution experiment, all replicates ultimately went extinct. This potential for slow
354 growth after exposure to drug has potential implications in a clinical setting, particularly for
355 cases where antifungal therapy was abandoned before completion, as it shows that tolerant
356 isolates can arise after drug exposure in strains with no previous intrinsic resistance.

357 Another future research direction is the study of the potential to acquire cross-resistance
358 to multiple azole drugs. Previous research (Warrilow et al., 2019) has shown that *C. albicans*
359 strains that acquire fluconazole resistance through *ERG11* mutations do not display the same
360 degree of resistance to posaconazole. Does this relationship go both ways, and does it hold true
361 in the case of tolerance as well as resistance? Testing how samples that have evolved
362 posaconazole tolerance respond to fluconazole could provide insight into these questions. Based
363 on preliminary results from the fluconazole disk diffusion assay performed here, it seems that
364 replicates exhibiting posaconazole tolerance also display similar tolerance to fluconazole.
365 However, this relationship needs to be studied in greater detail using additional evolved
366 replicates.

367 In conclusion, it was found that drug tolerance is a phenotype that can be evolved in a
368 relatively brief time period. Increases in drug tolerance correlated with strain background, with
369 strains having the lowest ancestral resistance levels (such as P78048, P75016, and SC5314)
370 displaying the greatest improvements. The fact that drug tolerance is a readily acquired trait has
371 important clinical implications, as this could provide insight into how and why recurrent *C.*
372 *albicans* infections occur following antifungal therapy. Finally, a list of candidate genes of
373 interest related to posaconazole tolerance were identified and plans for future research efforts
374 discussed.

375

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- 473

474 **Tables**475 **Table 1** Putative genes of interest relating to posaconazole tolerance.

Gene name	Gene Annotation Notes	Position	Mutation	Number of strains observed in
IFD6	Aldo-keto reductase family member; increased protein correlates with MDR1 overexpression in fluconazole-resistant clinical isolates	chr1:867820	G/G → G/A	7
		chr1:867829	G/G → G/A	5
PNG2	Putative peptide:n-glycanase; transcription up-regulated by treatment with caspofungin, ciclopirox olamine, ketoconazole, or hypoxia	chr2:1158412	A/A → A/G A/A → G/G	3 10
ALS2	ALS family protein; role in adhesion, biofilm formation induced by ketoconazole, low iron and at cell wall regeneration	chr6:977291	C/C → C/T	7
		chr6:977293	C/C → C/A	7
		chr6:977294	A/A → A/T	7
		chr6:977306	A/A → A/C	7
ALS3	ALS family cell wall adhesin; role in epithelial adhesion; fluconazole-repressed	chrR:1533684	T/T → T/A	7
		chrR:1533687	A/A → A/T	7
		chrR:1533705	G/G → G/A	7
		chrR:1533708	A/A → A/G	7
		chrR:1533732	T/T → T/C	7
		chrR:1533750	T/T → T/G	6
IFC3	Oligopeptide transporter; fluconazole-induced	chrR:499325 – 494327	2bp del	10
		chrR:494320	2bp ins	10

476

477

478 Figure Captions

479 Figure 1. Growth ability of various strains of *C. albicans* over five subsequent transfers into
480 YPD media supplemented with 0.5 μ L posaconazole. Biomass was measured via
481 spectrophotometer as optical density at 600nm at the time of transfer, and transfers were
482 performed every 72 hours.

483 Figure 2. Growth ability of various strains of *C. albicans* over five subsequent transfers into
484 YPD media supplemented with 0.5 μ L posaconazole. Biomass was measured via
485 spectrophotometer as optical density at 600nm at the time of transfer, and transfers were
486 performed every 24

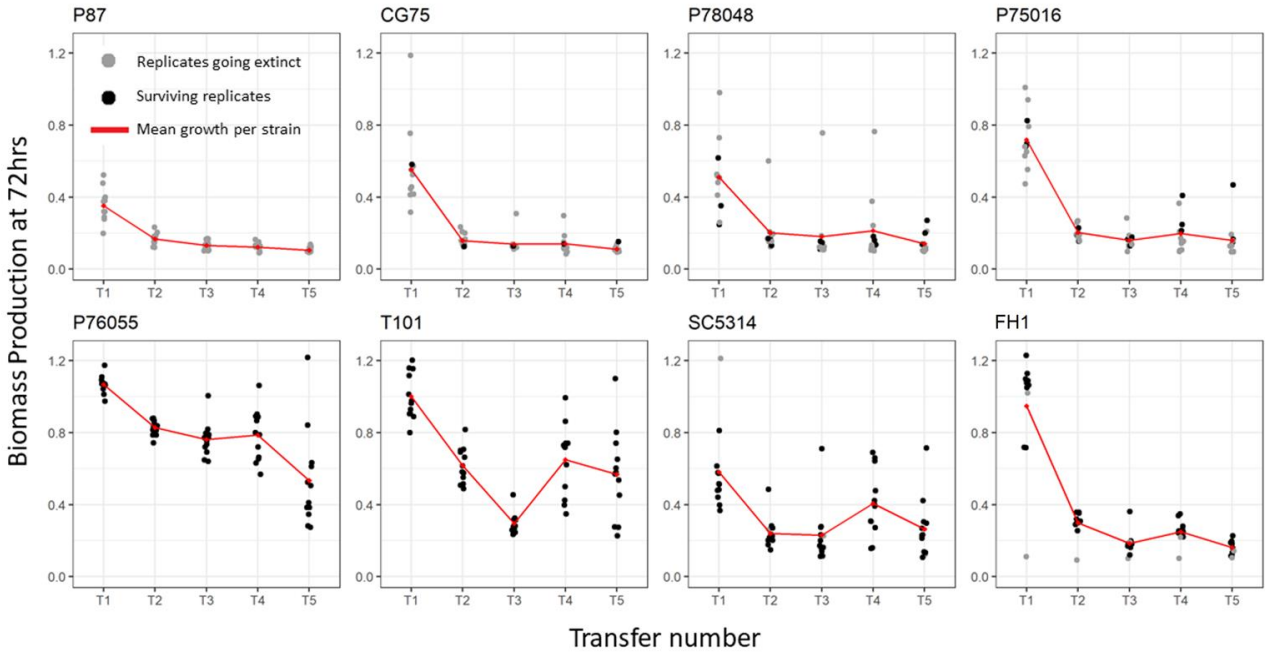
487 Figure 3. Comparison between ancestral and posaconazole-evolved replicates of *C. albicans*. A)
488 Resistance was determined as the radius from the disk at which there was a 20%
489 reduction in growth ability. B) Tolerance was determined as the fraction of the population
490 growing within the zone of inhibition determined from A). C) Ancestral (top) and
491 evolved (bottom) replicates of *C. albicans* strain SC5314 growing on YPD media in the
492 presence of a posaconazole-containing disk, after processing in ImageJ. The evolved
493 replicate has increased in tolerance, with a large fraction of growth occurring within the
494 zone of inhibition.

495 Figure 4. Comparison between ancestral and posaconazole-evolved replicates of *C. albicans* in
496 the presence of a fluconazole-containing disk. A) Resistance was determined as the
497 radius from the disk at which there was a 20% reduction in growth ability. B) Tolerance
498 was determined as the fraction of the population growing within the zone of inhibition
499 determined from A).

500 Figure 5. Biomass production for evolved replicates of *C. albicans* after 72 hours of growth in
501 YPD with 0.5 $\mu\text{g}/\text{mL}$ of posaconazole. No liquid MIC results were available for ancestral
502 replicates; red squares depict growth at 72 hours in YPD with 0.12 $\mu\text{g}/\text{mL}$ posaconazole
503 for the different ancestral replicates from the same strain backgrounds, as measured in a
504 separate but similar experiment.

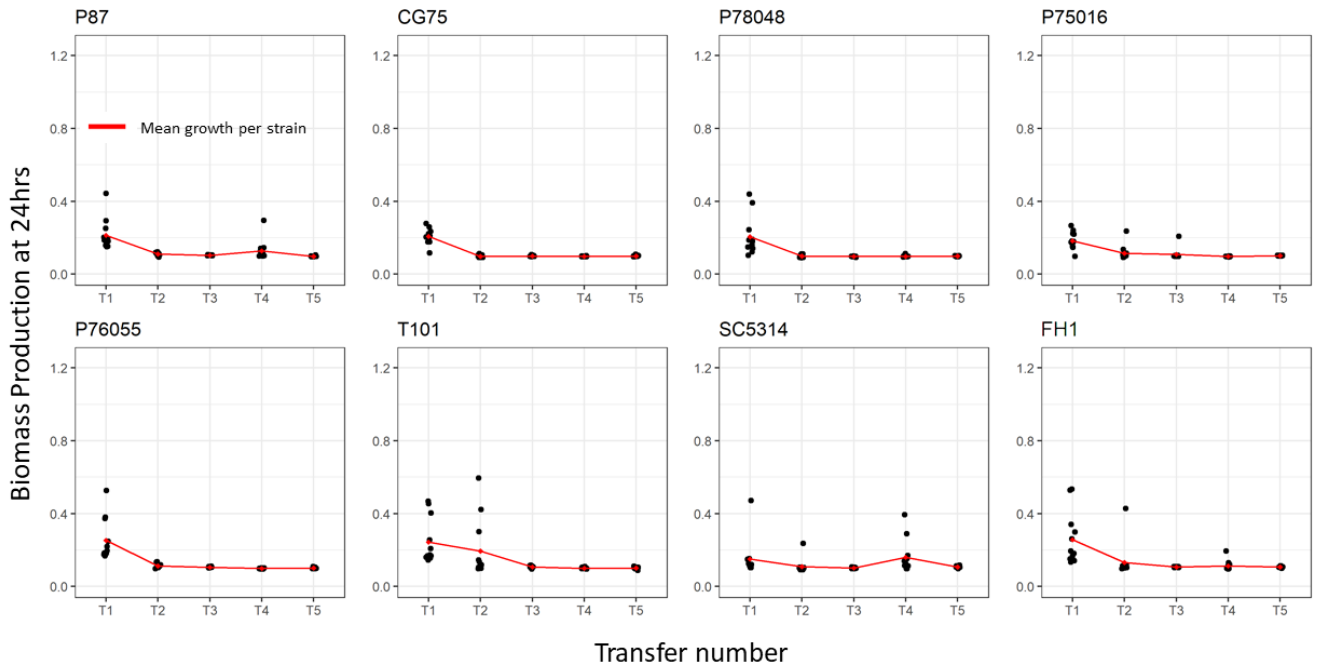
505 **Figures**

506 Figure 1



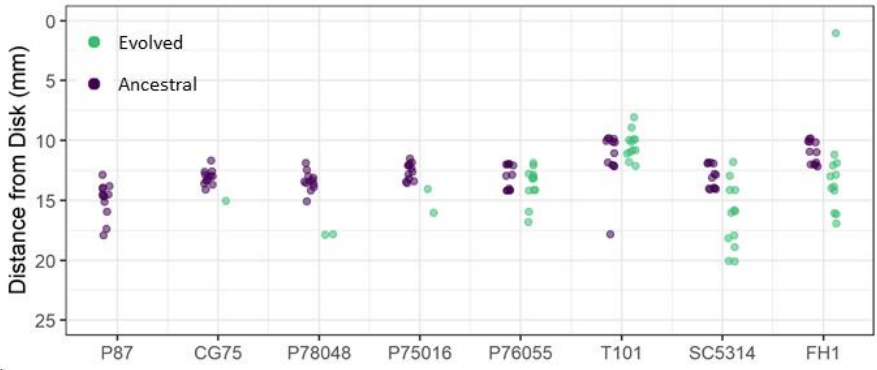
507

508 Figure 2

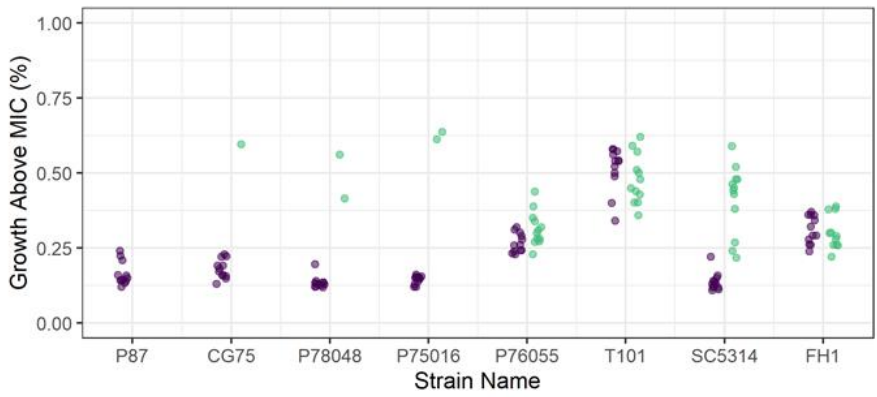


510 Figure 3

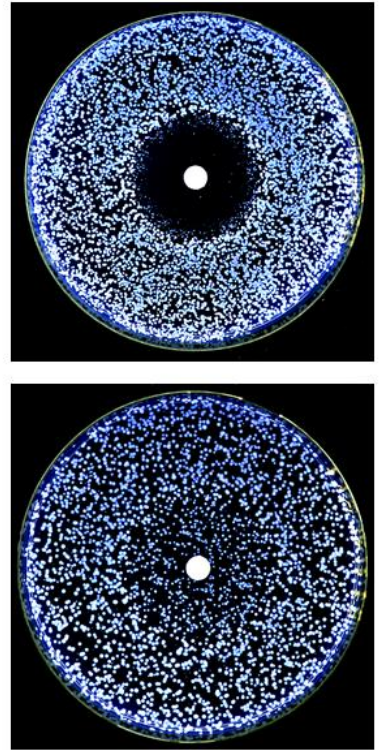
A) Posaconazole Resistance



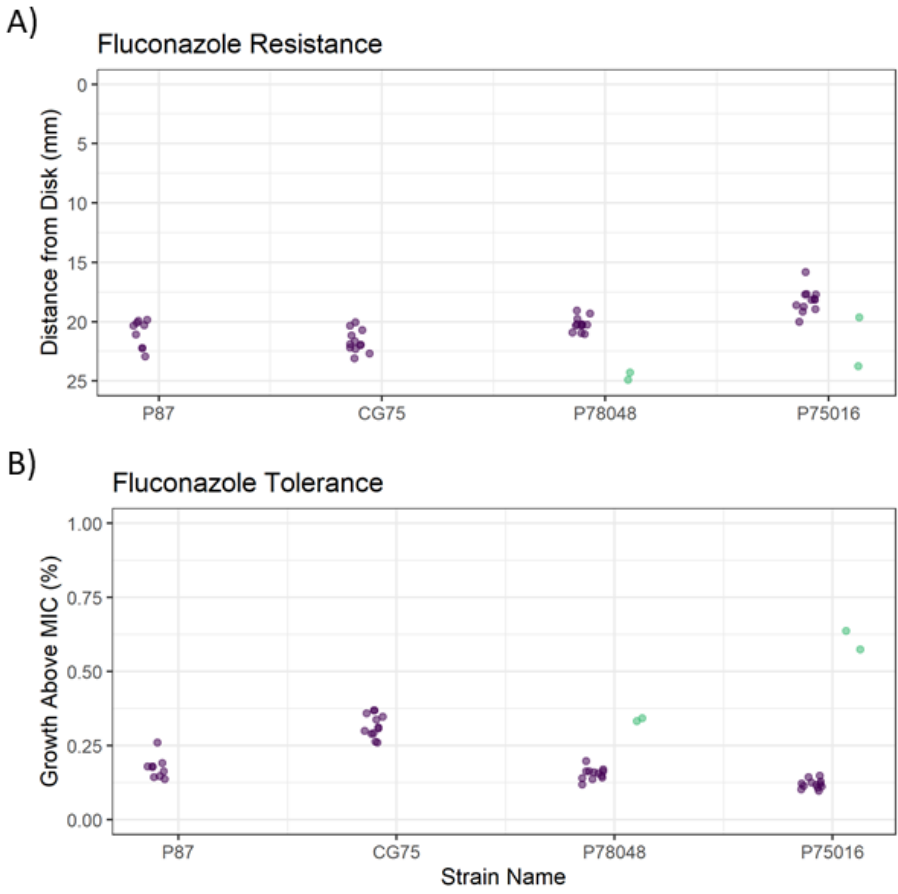
B) Posaconazole Tolerance



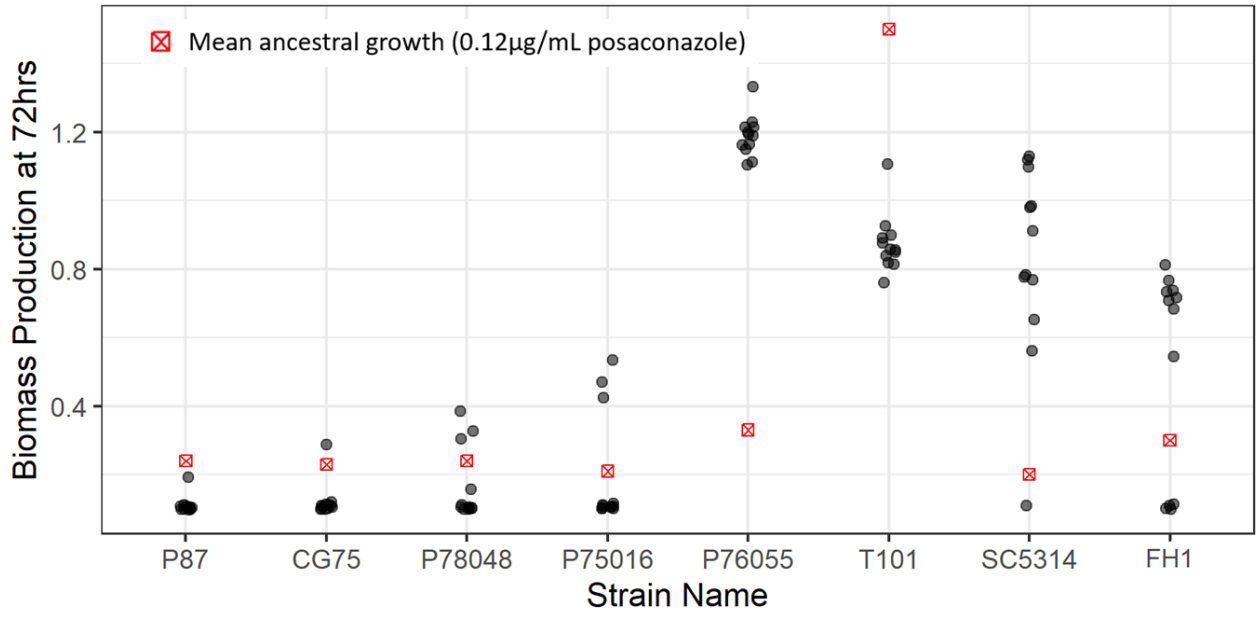
C)



512 Figure 4



514 Figure 5



515